Richard Ketchum



Richard Ketchum has been Chief Executive Officer of NYSE Regulation, Inc., since 2006. He is a member of the NYSE Regulation Board of Directors. Mr. Ketchum had served as the first Chief Regulatory Officer of the New York Stock Exchange since March 8, 2004.

From June 2003 to March 2004, Mr. Ketchum was General Counsel of the Corporate and Investment Bank of Citigroup Inc., and a member of the unit's planning group, Business Practices Committee and Risk Management Committee. Previously, he spent 12 years at the NASD and Nasdaq

Stock Market, Inc. He served as President of Nasdaq for three years and as President of the NASD for seven years.

Prior to working at the NASD and Nasdaq, Mr. Ketchum was at the Securities and Exchange Commission (SEC) for 14 years, eight of those years as Director of the Division of Market Regulation. From June 2003 to March 2004, Mr. Ketchum was General Counsel of the Corporate and Investment Bank of Citigroup Inc., and a member of the unit's planning group, Business Practices Committee and Risk Management Committee.

Mr. Ketchum earned his J.D. from the New York University School of Law in 1975 and his B.A. from Tufts University in 1972. He is a member of the bar in both New York and the District of Columbia.